SEC Form 4

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FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL						
OMB Number: 3235-0287						
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Check this box if no longer subject to	STATEME
Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).	Filed

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

d pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person [*] Hoang Peter L.			2. Issuer Name and Ticker or Trading Symbol <u>Marker Therapeutics, Inc.</u> [MRKR]		5. Relationship of Reporting Person(s) to Issuer (Check all applicable) X Director 10% Owner					
(Last) 5 WEST FORSY	(First) TH STREET,	(Middle) SUITE 200	3. Date of Earliest Transaction (Month/Day/Year) 10/19/2018	- x	Officer (give title below) Chief Executiv	Other (specify below) ve Officer				
(Street) JACKSONVILL (City)	E FL (State)	32202 (Zip)	4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Indiv X	Form filed by One Re	g (Check Applicable Line) porting Person an One Reporting Person				
		Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned								

1. Title of Security (Instr. 3)	Date (Month/Day/Year)	if any	Transaction Code (Instr.		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(Instr. 4)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	Transaction I Code (Instr. 5 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		Securities Acquired (A) or Disposed of (D) (Instr. 3, 4		6. Date Exercisable a Expiration Date (Month/Day/Year) f		e Securities Underlying		Securities Underlying Derivative Security		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		(Instr. 4)						
Employee Stock Option (Right to Buy) ⁽¹⁾	\$9.18	10/19/2018		А		1,359,855		(1)	10/19/2028	Common Stock	1,359,855	\$0	1,359,855	D					
Employee Stock Option (Right to Buy) ⁽²⁾	\$9.18	10/19/2018		A		200,000		(2)	10/19/2028	Common Stock	200,000	\$0	200,000	I	By Wife				

Explanation of Responses:

1. Represents options granted under the Company's 2014 Omnibus Stock Ownership Plan, as amended. These options vest and are exercisable immediately. The option exercise price is the closing price on the date of the grant, October 19, 2018.

2. Represents options granted under the Company's 2014 Omnibus Stock Ownership Plan, as amended to Tsvetelina Hoang, Mr. Hoang's wife who serves as the Company's Vice President, Research and Development. One fifth of the shares vest ten months after the grant date, the remainder of the shares vest in monthly installments thereafter upon the continued employment of Dr. Hoang by the Company through the vesting dates. The option exercise price is the closing price on the date of the grant, October 19, 2018.

<u>/s/ Mark A. Catchur, as</u>	
Attorney-in-Fact for Peter L.	<u>10/2</u>
<u>Hoang</u>	
** Signature of Reporting Person	Date

/23/2018

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.