### SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

### FORM 8-K

CURRENT REPORT

Pursuant to Section 13 or 15(d) of the Securities Exchange Act of 1934

December 1, 2018 Date of Report

MARKER THERAPEUTICS, INC.

(Exact name of registrant as specified in its charter)

<u>001-37939</u>

(Commission File Number)

<u>45-4497941</u> (IRS Employer Identification No.)

5 West Forsyth Street Suite 200

<u>Delaware</u> (State or other jurisdiction of incorporation)

Jacksonville, FL (Address of principal executive offices)

<u>32202</u> (Zip Code)

<u>(904) 516-5436</u> Registrant's telephone number, including area code

<u>N/A</u>

(Former name or former address, if changed since last report)

Check the appropriate box below if the Form 8-K is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

□ Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425) □ Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)

Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))

□ Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Indicate by check mark whether the registrant is an emerging growth company as defined in Rule 405 of the Securities Act of 1933 (§230.405 of this chapter) or Rule 12b-2 of the Securities Exchange Act of 1934 (§240.12b-2 of this chapter). Emerging growth company  $\Box$ 

If an emerging growth company, indicate by check mark if the registrant has elected not to use the extended transition period for complying with any new or revised financial accounting standards provided pursuant to Section 13(a) of the Exchange Act.  $\Box$ 

### Item 8.01 Other Events.

As previously announced by the Company, the Center for Cell and Gene Therapy at Baylor College of Medicine presented data from three abstracts at the 60<sup>th</sup> American Society of Hematology Annual Meeting between December 1-4, 2018. The Company previously issued a press release on the presentation of such dates on November 27, 2018 and the press release was filed as an exhibit to a Form 8-K on the same date. The presentations include: (i) an oral presentation regarding Safety and Efficacy of Multiantigen-Targeted T Cells for Multiple Myeloma by Premal Lulla, M.B.B.S., Assistant Professor of Medicine at the Center for Cell and Gene Therapy, Hematology-Oncology, at the Baylor College of Medicine; (ii) a poster presentation regarding Targeting Lymphomas Using Non-Engineered, Multi-Antigen Specific T Cells; and (iii) a poster presentation regarding Targeting date the Baylor College of Medicine in the laboratories of Dr. Ann Leen and Dr. Juan Vera, and exclusively licensed to Marker Therapeutics, Inc. Copies of the presentation and posters are attached hereto as Exhibits 99.1, 99.2 and 99.3, respectively. The presentations are available on Marker Therapeutics website at www.markertherapeutics.com under the caption "Recognition."

The information furnished pursuant to Item 8.01 on this Form 8-K, including Exhibit 99.1, 99.2 and 99.3 attached hereto, shall not be deemed "filed" for purposes of Section 18 of the Securities Exchange Act of 1934, as amended (the "Exchange Act"), or otherwise subject to the liabilities of that section, nor shall it be deemed incorporated by reference into any other filing under the Securities Act or the Exchange Act, except as expressly set forth by specific reference in such a filing.

### Item 9.01 Financial Statements and Exhibits.

(d) Exhibits

Exhibit No.	Description
<u>99.1</u>	2018 ASH Conference Presentation- Safety and Efficacy of Multiantigen-Targeted T Cells for Multiple Myeloma.*
<u>99.2</u>	2018 ASH Conference Poster Presentation-Targeting Lymphomas Using Non-Engineered, Multi-Antigen Specific T Cells.*
<u>99.3</u>	2018 ASH Conference Poster Presentation-Adoptive T-Cell Therapy for Acute Leukemia Targeting Multiple Tumor Associated Antigens.*

\*Furnished herewith.

## SIGNATURES

In accordance with the requirements of the Exchange Act, the registrant caused this report to be signed on its behalf by the undersigned, thereunto duly authorized on this 3<sup>rd</sup> day of December, 2018.

## MARKER THERAPEUTICS, INC. (Registrant)

BY:

/s/ Michael Loiacono Michael Loiacono Chief Accounting Officer

# Safety and efficacy of multi-TAA-T cells for Myeloma

**Premal Lulla**, Ifigeneia Tzannou, George Carrum, Carlos A. Ramos, Rammurti Kamble, Mrinalini Bilgi, Adrian P. Gee Shivani Mukhi, Betty Chung, Ayumi Watanabe, Manik Kuvalekar, Bambi Grilley, Malcolm K. Brenner, Helen E. Heslop, Juan F. Vera and Ann M. Leen



CENTER FOR CELL & GENE THERAPY

# Problems with myeloma therapy

Therapy	Problems
Dexamethasone	Infections, osteoporosis
Melphalan	Immunosuppression, second cancers
Thalidomide	Neuropathy, Clots, anemia
Lenalidomide	Clots, anemia, second cancers
Bortezomib	Neuropathy, viral infections
ASCT	Immunosuppression, infections

# New therapies needed



# Our approach

Simultaneously target multiple TAAs

# MultiTAA-T Cell Generation



# Profile of MultiTAA-T cells

**Phenotype** 



# MultiTAA T cell specificity/polyclonality



## Clinical trial design - Dose escalation (ARM A and B)

## PRAME/SSX/MAGE/NYESO1/Survivin-specific T cells:

2-4 pts at each level, 2 infusions 14 days apart

Dose Level 1: Day 0 and 14:  $5x10^6$  cells/m<sup>2</sup> Dose Level 2: Day 0 and 14:  $1x10^7$  cells/m<sup>2</sup> Dose Level 3: Day 0 and 14:  $2x10^7$  cells/m<sup>2</sup>

# **Clinical Trial - Eligibility**

• Any patient ≥18 yrs with myeloma diagnosis (post completion of at least 1 treatment regimen)

## Group A:

>90 days post autologous or syngeneic transplant

## Group B:

<90 days post autologous or syngeneic transplant

No lymphodepletion

# Patients Enrolled

## Group A:

ID	Age/G	Disease	DL	Prior Treatments
1	53/M	IgG-kappa	1	Bor/Dex → ASCT
6	61/M	lgG-kappa	1	RVD → ASCT
7	44/M	lgG-kappa	1	CyBorD → ASCT
14	47/M	lgG-kappa	2	RVD → ASCT
3*	65/F	IgG-kappa	1	$RVD \rightarrow ASCT \rightarrow CyBorD \rightarrow Carf/D \rightarrow ASCT$
13	31/F	IgG-kappa	2	VD
10	69/F	IgG-kappa	2	$VD \rightarrow ASCT \rightarrow R \rightarrow Pom/Carf/D$
15	70/M	IgA-kappa	3	$RVD \rightarrow ASCT \rightarrow R$ -vidaza $\rightarrow Pom/D \rightarrow ibrutinib/Carf \rightarrowdinaciclib/VD \rightarrow CyBorD \rightarrow Daratumumab \rightarrow RD-Elot \rightarrowIxa/RD$
2*	40/M	Free lambda	2	$RVD \rightarrow ASCT \rightarrow Pom/Carf/D \rightarrow ASCT \rightarrow mTAA T cells$
18	50/F	Free Kappa	3	$VD \rightarrow ASCT \rightarrow Dara/VD \rightarrow XRT \rightarrow ASCT$
20	57/M	IgG-lambda	3	$\begin{array}{c} RVD \rightarrow ASCT \rightarrow R \rightarrow VD \rightarrow Pom/D \rightarrow KPD \rightarrow ASCT \rightarrow \\ Ixa \rightarrow Dara/D \end{array}$

# Patients Infused

## Group A:

	ID	Age/G	Disease	Marrow	Prior Treatments
	1	53/M	Active	10%	Bor/Dex → ASCT
	6	61/M	In remission	0%	RVD → ASCT
	7	44/M	In remission	0%	CyBorD → ASCT
	14	47/M	Active	0% (MRD+)	RVD → ASCT
	3*	65/F	Active	90%	$RVD \rightarrow ASCT \rightarrow CyBorD \rightarrow Carf/D \rightarrow ASCT$
	13	31/F	Active	4%	VD
	10	69/F	Active	10%	$VD \rightarrow ASCT \rightarrow R \rightarrow Pom/Carf/D$
	15	70/M	Active	80%	$RVD \rightarrow ASCT \rightarrow R$ -vidaza $\rightarrow Pom/D \rightarrow ibrutinib/Caff \rightarrow$ dinaciclib/VD $\rightarrow CyBorD \rightarrow Daratumumab \rightarrow RD$ -Elot $\rightarrow$ Ixa/RD
	2*	40/M	Active	15%	$RVD \rightarrow ASCT \rightarrow Pom/Carf/D \rightarrow ASCT \rightarrow mTAAT cells$
ĺ	18	50/F	In remission	0%	$VD \rightarrow ASCT \rightarrow Dara/VD \rightarrow XRT \rightarrow ASCT$
	20	57/M	Active	5%	$RVD \rightarrow ASCT \rightarrow R \rightarrow VD \rightarrow Pom/D \rightarrow KPD \rightarrow ASCT \rightarrow$ Ixa $\rightarrow Dara/D$

# Patients Enrolled

## Group B:

ID	Age/G	Disease	DL	Prior Treatments
2	40/M	Free lambda	1	$RVD \rightarrow ASCT \rightarrow Pom/Carf/D \rightarrow ASCT$
3	65/F	lgG-kappa	1	$RVD \rightarrow ASCT \rightarrow CyBorD \rightarrow Carf/D \rightarrow ASCT$
5	76/M	IgG-kappa	1	CyBorD → ASCT
8	57/M	IgA-kappa	2	$VTD \rightarrow ASCT \rightarrow Rd \rightarrow Cy/Carf/D \rightarrow ASCT$
9	50/F	IgG-kappa	2	RVD → ASCT
11	53/M	IgG-lambda	2	$VD \rightarrow RVD \rightarrow ASCT$
12	54/M	Free lambda	2	$RVD/rituximab \rightarrow Rd \rightarrow ASCT$
17	44/F	IgG-kappa	3	$VRD \rightarrow KD \rightarrow ASCT$
19	70/M	Free kappa	3	$XRT \rightarrow VD \rightarrow ASCT \rightarrow R \rightarrow VD \rightarrow KPD \rightarrow ASCT$

# Patients Infused

## Group B:

ID	Age/G	Disease	Marrow	Prior Treatments
2	40/M	Active	20%	$RVD \rightarrow ASCT \rightarrow Pom/Carf/D \rightarrow ASCT$
3	65/F	Active	15%	$RVD \rightarrow ASCT \rightarrow CyBorD \rightarrow Carf/D \rightarrow ASCT$
5	76/M	Active	20%	CyBorD → ASCT
8	57/M	In remission	0%	$VTD \rightarrow ASCT \rightarrow Rd \rightarrow Cy/Carf/D \rightarrow ASCT$
9	50/F	In remission	0%	RVD → ASCT
11	53/M	In remission	0%	$VD \rightarrow RVD \rightarrow ASCT$
12	54/M	In remission	0%	RVD/rituximab → Rd → ASCT
17	44/F	Active	0% (MRD+)	$VRD \rightarrow KD \rightarrow ASCT$
19	70/M	In remission	0%	$XRT \rightarrow VD \rightarrow ASCT \rightarrow R \rightarrow VD \rightarrow KPD \rightarrow ASCT$

# Clinical Outcomes

## Active Disease:

ID	Age/G	Disease	Marrow	Week 6	Wk 6	Mo12
1	53/M	Active	10%	Unknown	SD	PR
14	47/M	Active	0% (MRD+)	0% (MRD+)	SD	SD
3*	65/F	Active	90%	85%	SD	PD (2m)
13	31/F	Active	4%	0%	SD	SD
10	69/F	Active	10%	10%	SD	PD (7m)
15	70/M	Active	80%	80%	SD	PD (3m)
2*	40/M	Active	15%	15%	SD	SD (3m)
2*	40/M	Active	20%	0%	CR	CR
3*	65/F	Active	15%	10%	SD	PD (6m)
5	76/M	Active	20%	15%	SD	PR
17	45/F	Active	0% (0.4 g/dl)	0% (0.2 g/dl)	PR	PR (6m)
20	57/M	Active	5% (0.97 g/dl)	3% (0.53 g/dl)	SD	SD (3m)

## **Clinical Outcomes**

## In remission:

ID	Age/G	Disease	Marrow	Week 6	Wk 6	Mo12
8	57/M	In remission	0%	0%	CCR	CCR
9	50/F	In remission	0%	0%	CCR	CCR
11	53/M	In remission	0%	0%	CCR	Relapse (7m)
12	54/M	In remission	0%	0%	CCR	CCR
6	61/M	In remission	0%	0%	CCR	CCR
7	44/M	In remission	0%	0%	CCR	CCR
19	70/M	In remission	0%	0%	CCR	CCR (6m)
18	50/F	In remission	0%	0%	CCR	CCR (8m)

Only one patient has relapsed at a median f/u of 21 months

# Correlating clinical benefit with infused multiTAA T cells

# How can we track non-gene-modified multiTAA T cells in vivo?

## Rationale:

- In PBMCs (pre-infusion) tumor-specific T cell frequency v. low - below TCR v $\beta$  deep sequencing detection threshold (1/100,000)
- Tumor-directed clones enriched in multiTAA T cells
  - Detectable by v $\beta$  deep sequencing

# How many "trackable" clones are present in our multiTAA T cells?

Clonal diversity in multiTAA T cells



## What drives in vivo multiTAA expansion?

- Patients enrolled on different arms depending on proximity to transplant [> (Grp A) or < (Grp B) 90 days]</li>
  - Does post-transplant lymphodepletion impact expansion?
- · Patients with and without disease enrolled on study
  - · Does presence of antigen influence in vivo expansion?

## Antigen drives multiTAA expansion – TCR tracking





# T cell kinetics in responders





# Clinical Response – Pt#2





# In vivo T cell tracking – Pt#2



# Immune escape post multiTAA T cells

# Clinical Course - Pt#3



# Clinical Course - Pt#3



# Clinical Course - Pt#3 - ELIspot





# Clinical Course - Pt#3



# Clinical Course - Pt#3











# Mechanism of Escape

Immune activating genes



Immune inhibitory genes

Linghua Wang, David Wheeler HGSC-BCM

# MultiTAA T cells for myeloma

- Safe to date (DL3 Arm A & B)
- Feasible
- In vivo expansion of tumor-specific T cells directed to target antigens
- Antigen spreading
- Clinical benefit

## cknowledgements A

TRL Lab Pls Helen Heslop Cliona Rooney Malcolm Brenner Juan Vera Ann Leen

**QA/QC** Laboratory Adrian Gee Sara Richman Natasha Lapteva Debbie Lyon April Durett Suzanne Poole Zhuyong Mei Crystal Silva-Lentz

Grystal Silva-Lentz GMP Laboratory Huimin Zhang Birju Mehta

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Ulrike Gerdemann Anastasia Papadopoulou

Collaborators David Wheeler Linghua Wang

Clinical Team Robert Krance George Carrum Ram Kamble Swati Naik Carlos Ramos Stephen Gottschalk

## Clinical Research Bambi Grilley

Bridget Medina Hao Liu Munu Bilgi Catherine Robertson Elicia Casteneda





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## Funding:

Leukemia Texas Reseach grant, Leukemia and Lymphoma SCOR, ASBMT New Investigator Award. ASH Scholar Award, Ruth L. Kirschstein National Research (NIH), BCM Junior Faculty Seed Funding Award, TACCT-CPRIT, EPCRS-DLDCC, LLS/Rising Tide

## Targeting lymphomas using non-engineered, multi-antigen specific T cells



George Carrum, Premal Lulla, Ifigeneia Tzannou, Ayumi Watanabe, Manik Kuvalekar, Munu Bilgi, Tao Wang, Rammurti Kamble, Carlos A. Ramos, Rayne Rouce, Bambi J. Grilley, Adrian P. Gee, Malcolm K. Brenner, Helen E. Heslop, Juan F. Vera and Ann M. Leen Center for Cell and Gene Therapy, Baylor College of Medicine, Houston Methodist Hospital, and Texas Children's Hospital, Houston, Texas, USA

### Introduction

Immunotherapy is emerging as a potent therapy for a range of hematologic malignancies induding lymphomas. Indeed adoptive transfer of T cells genetically engineered to express the CDI9 chineric antigen receptor (CAR) has now received FDA approval for the treatment of patients with refractory diffuse large B cell lymphomas (CLBCL). We have developed a non-engineered T cell-based therapy to treat patients with all types of lymphomas: Indeglinis (HL) and non-Hodgkin's lymphoma (NHL). The approach uses single T cell lines that simultaneously target a range of tumo-associated antigens (TAAs) that are frequently expressed by these tumors, including PRAME, SSN2, MAGEAN, N°ESO-1 and Survivin (Table 1). The use of whole antigen should remove the HLA restriction imposed by the use of transgenic TCRs specific for single peptides, while targeting multiple antigens simultaneously would reduce the risk of tumor immune evasion.



### Characteristics of mTAA-T cells

We have generated 42 clinical-grade multiTAA-specific T cell lines (Figure 2), comprising CD3+ T cells (mean 98±1.1%) with a mixture of CD4+ (mean 48±4.3%) and CD4+ (mean 37±4%) T cells, which expressed contral and effector memory markers (CD45RO+/CD62L+/CCR7+ - mean 14±3%; CD45RO+/CD62L+/CCR7- - 10±2.2%; CD45RO+/CD62L+/CCR7+ - 8.3±3.5%) (m=42, Figure 3). The expanded lines recognized the targeted antigens FRAME, SS2, AMAGEA, MY-ESO-1 and Survivin (nange 0-463, 0-436, 0-330, 0-339 and 0-304 spot forming units (SFU)/2XI0<sup>2</sup> input cells, respectively in IFNy ELIspot, n=31). None of the lines reacted against non-mailgamat autologous recipient cells (3±3.8% specific lysis; E:T 20:1 Figure 5).



Figure 4-specificity in an ELISPOT Assay Figure 5 Lack of self-reactivity We have implemented a phase I/II clinical trial to explore the safety and efficacy of the administration of mTAA-directed T cells to patients with lymphomas who have failed at least one line of prior therapy. The schema for enrollment is shown in Figure 6. We have trated 33 patients (Group A: 15) so far: 13 with hu, I 7 with agressive NHL (diffuse large 6-cell, mantle cell, or T cell lymphomas) and 3 with indolent NHLs (FL and margina) zone kmphoma) at does of 0.5-2xi0<sup>7</sup> wultTAA-T cells/m<sup>2</sup> in 2 infusions 2 weeks apart without prior lymphodepletion chemotherapy.

Group A: In remission	-+	Antigen escalation (n=4)	Dose escalation (n=14)
Circup B: Active lymphoma (failed prior lines)	->	Antigen escalation (n=4)	Dose escalation (n=11)
		Figure 6: Trial Design	(11-11)

### **Clinical Outcomes**

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We first treated patients on the antigen escalation scheme (4 in each arm). None of the infused patients experienced infusion related toxicities, so we then proceeded with the dose escalation phase of the study. Of 18 patients who were infused as adjuvant therapy all but 2 remain in remission (range 3-42 months post-infusion). ----of anti-

	Table 2: Clinical outcomes of patients treated on group A (adjuvant)							
D	Age/Sex	Disease	Prior Therapies	Response to T cell therapy (duration)				
	39/M	HL & DLBCL	ABVD $\rightarrow$ RICE $\rightarrow$ ASCT	CCR (>3 years)				
2*	78/F	DLBCL	R→RCHOP	In remission (8 mo) →relapse				
3*	78/F	DLBCL	R→RCHOP → multiTAA T cells → R-Bendamustine	CCR (>3 years)				
r	21/M	HL	ABVD → Brentuximab → Nav/Gem → ASCT	CCR (>4 years)				
5	34/M	HL	ABVD → ICE → ASCT + XRT → Brentuximab	In remission (12 mo) $\rightarrow$ relapse				
5	54/M	DLBCL	RCHOP → R-EPOCH → R-DHAP→ ASCT	In remission (19 mo) → relapse				
7	61/M	DLBCL	$R$ -EPOCH $\rightarrow$ ASCT $\rightarrow$ XRT	CCR (>2 years)				
B	41/F	HL	$ABVD + XRT \rightarrow ICE \rightarrow ASCT \rightarrow XRT \rightarrow Brentuximab \rightarrow DHAP$	CCR (>4 years)				
9	62/M	T cell	CHOP + XRT → ASCT	CCR (>3 years)				
0	53/M	Mantle	R-HyperCVAD → R-Bendamustine → R-Ibrutinib → ASCT + XRT	CCR (>2 years)				
1	39 not 67/M	Mantle	R-Bendamustine-Ara-C → ASCT	CCR (>3 years)				
2	65/F	DLBCL	R-EPOCH → ASCT	CCR (>2 years)				
3	35/M	HL	$ABVD \rightarrow Brentuximab+Bendamustine \rightarrow ASCT \rightarrow XRT$	CCR (> 2 years)				
4	73/F	DLBCL	$R$ -CHOP $\rightarrow$ XRT $\rightarrow$ ESHAP $\rightarrow$ RIE	CCR (>1 year)				
5	50/F	DLBCL	HyperCVAD → ASCT	CCR (9 mo)				
6	41/M	DLBCL	$ABVD \rightarrow R-ICE \rightarrow ASCT$	CCR (> 1 year)				
7	32/F	T cell ALCL	$CHOP \rightarrow Brentuximab \rightarrow Crizotinib \rightarrow CD30 CAR T cells → Crizoinib$	CCR (9 mo)				
8	25/M	HL	$ABVD \rightarrow Brentuximab \rightarrow ICE \rightarrow ASCT$	CCR (>1 year)				

Antigen escal

Fifteen patients have received multiTAA-specific T cells to treat active disease, all of whom had failed a median of 4 lines of prior therapy. Of these, 5 had transient disease stabilization followed by disease progression, 4 have ongoing stable disease, 3-18 months post-multiTAA-specific T cells while the remaining 6 (3 with HL and 3 with DLECL) have all had complete and durable responses (4 to 41 months), as assessed by PET imaging (Table 3). None of the treated patients developed cytokine release syndrome, neurotoxicity or any other infusion related adverse events.

### Table 3: Clinical outcomes of patients treated on group B (active)

D	Age/Sex	Disease	Prior Therapies	Response to multiTAA T cells (duration)
•	31/F	HL	ABVD → ICE → Cis-Gem→ XRT →ASCT→ EBV T cells→Brentuximab→Yttrium90 → CART-CD30	Stable disease (5 mo) $\rightarrow$ Off study [Revilimid (5 mo) $\rightarrow$ PD1]
	55/F	HL/NHL	$RCHOP + XRT \rightarrow ICE \rightarrow ASCT$	CR (4 mo) Died of pneumonia
*	38/M	HL	$ABVD \rightarrow XRT \rightarrow IGEV \rightarrow ESHAP \rightarrow ASCT \rightarrow GVD \rightarrow XRT$	CR (>2 years ongoing)
*	44/F	HL	$ABVD \rightarrow ICE \rightarrow ASCT \rightarrow Brentuximab$	CR (>5 years ongoing)
5	46/M	HL	$ABVD \rightarrow ICE \rightarrow ASCT + XRT \rightarrow Brentuximab$	CR (>2 years ongoing)
5	46/F	DLBCL	$RCHOP \rightarrow GDC \rightarrow ASCT$	CR (>3 years ongoing)
,	31/F	HL	$ABVD \rightarrow XRT \rightarrow ICE \rightarrow Nav/Gem \rightarrow ASCT \rightarrow HDACi \rightarrow Brentuximab \rightarrow Bendamustine \rightarrow PD1i$	Stable disease (5 mo) →PD
3	69/M	NHL	EPOCH → Romidepsin → ASCT	Stable disease (>2 years)
•	54/M	DLBCL	$RCHOP \rightarrow R-ICE \rightarrow ASCT$	Stable disease (6 mo) → PD → Started PD1i - >2 years; Alive
0	18/F	HL	$ABVE-PC \rightarrow XRT \rightarrow IVBor \rightarrow Brentuximab \rightarrow PD1i$	Stable disease (9 mo) → PD
1	48/M	DLBCL	EPOCH-R $\rightarrow$ R-ICE $\rightarrow$ ASCT $\rightarrow$ XRT	CR (>1 year)
2	49/M	HL	ABVD → ICE → ASCT → XRT→ Brentuximab → Nivolumab → Bendamustine	PD (3 mo)
3	54/M	DLBCL	EPOCH-R $\rightarrow$ ICE-R $\rightarrow$ XRT $\rightarrow$ ASCT	SD (9 mo)
4	64/M	DLBCL	R-CHOP→Bendamustine/Rituxan→ RICE→ RIE→ ASCT	PD (9 mo)
5	68/M	DLBCL	RCHOP→GDP→ASCT	Stable disease (4 mo) → CD19- CAR-T





27: Complete responses in a patient with Hodgkin lymphoma correle expansion of infused mTAA-T cells along with antigen spreading

Six of 15 patients entered a durable CR, which correlated the in vivo expansion of mTAA-directed T cells. Shown in Figure 7 is an example of a patient with **Hodgkin lymphoma** with residual mediastinal disease despite undergoing an autologous hematopoletic stem cell transplant (ASCT). Eight weeks post-infusion, this patient enters a CR concomitant with an increase in the circulating frequency of targeted as well as non-targeted tumor antigen-specific T cells (**Figure 7**).



Figure 8: Durable CR in a patient with DLBCL of the mesentery that was refractory to high dose chemo/ASCT correlates with in vivo expansion of mTAA-T cells and antigen spreading

Three of the six CR patients had treatment refractory diffuse large B cell lymphoma. In one of these cases the patient initially developed a "tumor flare", 3 months post-infusion which coincided with increasing levels of TAA-directed T cell in the circulation. Without additional therapies, the patient entered a complete response, 9 months post-infusion at which time-point not only was there a robust increase in target TAA-specific T cells, but also non-targeted MAGECI-specific T cells indicating antigen spreading.

### Conclusions

Thus, infusion of autologous multITAA-targeted T cells directed to PRAME, SSX2, MAGEA4, NY-ESO-1 and Survivin has been safe and provided durable clinical benefit to patients with ymphomas. Responses in all six patients who entered a CR were durable and associated with an expansion of infused T cells as well as the induction of antigen spreading.

AL, JFV, MKB, HH and CMR are co-founders of Marker Therapeutics that aspires to commercialize the described approach to cell therapy



## ADOPTIVE T-CELL THERAPY FOR ACUTE LEUKEMIA TARGETING MULTIPLE TUMOR ASSOCIATED ANTIGENS

Naik S, Lulla P, Tzannou I, Velasquez M, Vera JF, Gee AG, Liu H, Krance R, Brenner MK, Rooney CM, Heslop HE, Gottschalk S, Leen AM. Center for Cell and Gene Therapy, Texas Children's Hospital, Baylor College of Medicine, Houston, Texas.

### BACKGROUND

 Leukemic relapse remains the major cause of treatment failure in hematopoietic stem cell transplant (HSCT) recipients ·Donor lymphocyte infusions (DLIs) are not always effective and

ociated with the risk of life-threatening graft-versus-host are ass disease (GVHD)

•The adoptive transfer of T cells, genetically modified to express CD19-specific chimeric antigen receptors (CARs), has shown potent anti-leukemia activity in HSCT recipients with recurrent disease

·However, CD19-CAR T cells carry the inherent risk of immune escape since a single antigen is targeted, and is limited to malignancies of B-cell lineage

•To overcome these limitations, we now propose to target multiple tumor associated antigens (multiTAAs) expressed in B- and T-cell ALL with donor-derived, multiTAA-specific T cells.

### **DESIGN AND METHODS**

### Choosing optimal TAA

. We choose the following tumor associated antigens that are over expressed on the surface of leukemic cells

TAA Function Zn finger transcription factor Inhibitor of apoptosis WT: ALL: 70-90% ALL: 65-70% Surviv PRAME Repressor of retinoic acid receptor function ALL : 40-45%



Fig 1. Dendritic cells loaded with pepmixes are used as APCs. In the presence of a Th1-polarizing/pro-proliferative cytokine cocktail T-cells are repeatedly stimulated to activate multi TAA specific T-cells.

**CLINICAL TRANSLATION** 

#### Study outline

•Designed a Phase 1 study for patients with high-risk ALL who undergo allogeneic HSCT.

-Donor-derived mTAA specific T-cells are infused after day +30 following allogeneic HSCT in 3 escalating dose levels : 1) DL1 : 5x106 cells/m2 2) DL2 : 1x107 cells/m2 3) DL3 : 2x107 cells/m2 ·Eligible patients can receive up to 6 mTAA specific T-cell infusions, 4-6 weeks apart

•There are 2 groups on study : 1) Group A : As Adjuvant therapy for patients in remission and 2) Group B : Patients with relapsed disease after transplant

### Preliminary data

To date, we have enrolled 14 patients and infused 10 patients with ALL with multiTAA specific T-cell lines on Group A  $\,$ 

ID	Age/G	Disease	Prior Treatments	Dose
1	5/F	Ph+ ALL	Induction chemo → Primary induction failure → MRD SCT	1
2	18/F	HR-ALL	Completed therapy for HR- ALL → Relapse → MRD SCT	1
3	18/F	Ph+ ALL	Completed therapy for HR- ALL → Relapse → MRDSCT→ Relapse → Chemo→ CD34+ top -off	1
4	41/M	HR-ALL	HyperCVAD + Ofatumumab x 5 cycles →MRD SCT	1
5	8/M	Ph+ ALL	Completed therapy for HR- ALL → Relapse → MRD SCT	1
6	48/F	HR-ALL	Induction chemo → Primary induction failure → MRD SCT	2
9	12/F	T-cell ALL	Completed therapy for T-ALL → Relapse → MRD SCT	2
10	18/M	HR-ALL	Induction chemo → Primary induction failure → MRD SCT	2
11	12/F	MPAL	Induction chemotherapy → MRD SCT	3
12	16/M	Ph+ ALL	Relapsed on therapy for HR- ALL → MRD SCT	3

### Characterization of Multi TAA specific T-cells



rived multi TAA specific T cell lines are predominantly comprised of T-cells and have polyclonal repertoire as assessed by Flow Cytometry

Fig 2. Dono cell lines an

Fig 3 Donor-derived multi TAA specific T cell lines (n= 11) show antigen specificity as assessed by Elispot assay, TAA

#### Clinical outcome All infusions were well tolerated without any adverse events To date infused natients have not experienced any Dose

ID	Age/G	Disease	Dose level	Outcome
1	5/F	Ph+ ALL	1	Not evaluable*
2	18/F	HR-ALL	1	CR with mixed chimerism for 6 months-→ Relapse
3	18/F	Ph+ ALL	1	Remains in CR (16 months)
4	41/M	HR-ALL	1	Remains in CR (22.4 months)
5	8/M	Ph+ ALL	1	Remains in CR (9 months)
6	48/F	HR-ALL	2	Not evaluable*
9	12/F	T-cell ALL	2	Remains in CR (11 month)
10	18/M	HR-ALL	2	Remains in CR (9 months)
11	12/F	MPAL	3	Remains in CR - recent infusion
12	16/M	Ph+ ALL	3	Remains in CR - recent infusion



Fig 4. Elispot assays show evidence of expansion of multi-TAA specific T-cell expansion by week 4 post-infusion in all patients that remained in CR. The one patient who relapse had no evidence of multiTAA expansion, despite 3 additional



Fig 5. In-vivo antigen cascade. Elispot assays show evidence of antiger spreading, probably contributing to tumor control.

### CONCLUSIONS

- · Safe to date and feasible for both B-cell and T-cell ALL
- · In-vivo expansion of tumor-antigen associated T-cells directed to target antigens and evidence of antigen spreading which may contribute to disease control.
- Adoptive transfer of multi TAA-specific T cells may present a promising addition to current immunotherapeutic approaches for prophylaxis for leukemic relapse in HSCT recipients.